

Bill History
Session Year 2017
Substitute for Raised H.B. No. 6992

[Public Act No. 17-120 \[pdf\]](#)

[Bill Analysis for HB-6992, as amended by House "A"](#)

[File No. 14 \[pdf\]](#)

[Fiscal Note For File Copy 14](#)

[Fiscal Note For File Copy 802](#)

[BA Joint Fav. Rpt](#)

[Raised Bill \[pdf\]](#)

[BA Joint Favorable \[pdf\]](#)

[BA Vote Tally Sheet \[pdf\]](#)

[Senate Roll Call Vote 359 ADOPT](#)

[Fiscal Note for Amendment LCO 5251 \(No Fiscal Impact\)](#)

[House LCO Amendment #5251 \(D\) \[pdf\]](#)

[Bill Analysis For File Copy 14](#)

[Bill Analysis For File Copy 802](#)

[File No. 802 \[pdf\]](#)

[Fiscal Note for HB-6992, As Amended by House "A" \(LCO 7755\)](#)

[AGE Joint Fav. Rpt](#)

[Summary for Public Act No. 17-120](#)

[AGE Joint Favorable \[pdf\]](#)

[AGE Vote Tally Sheet \[pdf\]](#)

[House Roll Call Vote 225 AS AMENDED](#)

[House Schedule A LCO# 7755 \(D\) \[pdf\]](#)

[Fiscal Note for Amendment LCO 7755 \(No Fiscal Impact\)](#)

Introducer(s):

Aging Committee

Title: AN ACT PROTECTING THE INTERESTS OF CONSUMERS DOING BUSINESS WITH FINANCIAL PLANNERS.

Statement of Purpose: To provide protections for consumers who receive investment advice from financial planners.

Bill History:

02-01-2017 REFERRED TO JOINT COMMITTEE ON Aging Committee

02-02-2017 PUBLIC HEARING 02/07

02-14-2017 JOINT FAVORABLE

02-15-2017 FILED WITH LEGISLATIVE COMMISSIONERS' OFFICE

02-24-2017 REFERRED TO OFFICE OF LEGISLATIVE RESEARCH AND OFFICE OF FISCAL ANALYSIS 03/01/17-5:00 PM

03-02-2017 REPORTED OUT OF LEGISLATIVE COMMISSIONERS' OFFICE

03-02-2017 FAVORABLE REPORT, TABLED FOR THE CALENDAR, HOUSE

03-02-2017 HOUSE CALENDAR NUMBER 42

03-02-2017 FILE NUMBER 14

03-17-2017 REFERRED BY HOUSE TO COMMITTEE ON Banking Committee

03-21-2017 JOINT FAVORABLE

03-22-2017 FILED WITH LEGISLATIVE COMMISSIONERS' OFFICE

03-22-2017 REPORTED OUT OF LEGISLATIVE COMMISSIONERS' OFFICE

03-23-2017 NO NEW FILE BY COMMITTEE ON Banking Committee

03-23-2017 TABLED FOR THE CALENDAR, HOUSE

05-24-2017 HOUSE ADOPTED HOUSE AMENDMENT SCHEDULE A:LCO-7755

05-24-2017 HOUSE PASSED AS AMENDED BY HOUSE AMENDMENT SCHEDULE A
05-26-2017 FAVORABLE REPORT, TABLED FOR THE CALENDAR, SENATE
05-26-2017 SENATE CALENDAR NUMBER 542
05-26-2017 FILE NUMBER 802
06-06-2017 SENATE ADOPTED HOUSE AMENDMENT SCHEDULE A
06-06-2017 SENATE PASSED AS AMENDED BY HOUSE AMENDMENT SCHEDULE A
06-06-2017 ON CONSENT CALENDAR / IN CONCURRENCE
06-16-2017 PUBLIC ACT 17-120
06-21-2017 TRANSMITTED TO THE SECRETARY OF STATE
06-21-2017 TRANSMITTED BY SECRETARY OF THE STATE TO GOVERNOR
07-05-2017 SIGNED BY THE GOVERNOR

Co-sponsor(s):

Rep. Kim Rose, 118th Dist.	Rep. Susan M. Johnson, 49th Dist.
Rep. Michelle L. Cook, 65th Dist.	Sen. Martin M. Looney, 11th Dist.
Sen. Steve Cassano, 4th Dist.	Rep. Joseph C. Serra, 33rd Dist.
Sen. Bob Duff, 25th Dist.	Rep. Matthew Lesser, 100th Dist.